

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Avi Ashkenazi, et al. Docket No.: 39780-2730P1C51
Serial No.: 09/991,157 Group Art Unit: 1645
Filing Date: November 16, 2001 Examiner: Murphy, Joseph
For: **NUCLEIC ACIDS ENCODING PRO943**
Express Mail Label No.: EL 993 688 916 US
Mailing Date: August 4, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --

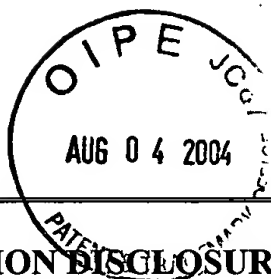
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-2730P1C51).

Respectfully submitted,

Dated: August 4, 2004

By: Daphne Reddy
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INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39780-2730P1C51		SERIAL NO.: 09/991,157		
			APPLICANT : Avi Ashkenazi, et al.				
			FILING DATE: November 16, 2001		GROUP: 1646		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	GenBank, Accession No.: P_AAF44130, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J. et al.						
	GenBank, Accession No.: P_AAZ64984, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K. et al.						
	GenBank, Accession No.: P_AAC58376, WO 200053755-A2, Pub Date: September 14, 2000, Ashkenazi, A.J. et al.						
	GenBank, Accession No.: AX191426, WO 0149715-A1, Pub Date: July 12, 2001, Ashkenazi, A.J. et al.						
	GenBank, Accession No.: AX080803, WO 0109327-A, Pub Date: February 8, 2001, Ashkenazi, A.J. et al.						
	Dayhoff, Accession No.: AAK15273.1, Direct Submission, Submitted: October 11, 2000, Aggarwal, S. et al.						
	Dayhoff, Accession No.: P_AAB66264, WO 200100673-A1, Pub Date: January 4, 2001, Barnes, T.M. et al.						
	Dayhoff, Accession No.: P_AAB65179, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J. et al.						
	Dayhoff, Accession No.: P_AAY66656, WO 9963088-A2, Pub Date: December 9, 1999, Baker K. et al.						
	Dayhoff, Accession No.: P_AAB24066, WO 200053755-A2, Pub Date: September 14, 2000, Ashkenazi, A.J. et al.						
	Dayhoff, Accession No.: CAC14171.1, , Direct Submission, Submitted: April 4, 2000, Trueb, B.						
	Dayhoff, Accession No.: AAK26742.1 Direct Submission, Submitted: June 19, 2000, Kim, I. et al.						
	Dayhoff, Accession No.: NP_068742.1, Genomics, 69 (2), pp 275-279, 2000, Wiedemann, M. et al.						
	Dayhoff, Accession No.: P_AAY92864, WO 200024756-A1, Pub Date: May 4, 2000, Ruben, S.M. et al.						
	Dayhoff, Accession No.: P_AAM38643, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y.T. et al.						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.